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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Volker Breu et al.

Serial No. 09/939,883, filed August 27, 2001

For:

NEUROPEPTIDE Y ANTAGONISTS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Nutley, New Jersey 07110 October 10, 2001

Commissioner for Patents **BOX MISSING PART**Washington, D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application, applicants enclose an original Declaration executed by Volker Breu, Frank Dautzenberg, Philippe Guerry, Matthias Heinrich Nettekoven and Philippe Pflieger, co-inventors of the captioned application. The submission of the executed Declaration completes the formal requirements for filing the captioned application. Please make the foregoing of record.

The payment of a surcharge of \$130.00 is to be charged to Deposit Account No. 08-2525. Any underpayment or overpayment should be charged or credited to Deposit Account No. 08-2525.

10/18/2001 BABRAHA1 00000049 082525

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01 FE:105

130.00 CH

Respectfully submitted,

Agent for Applicant(s) F. Aaron Dubberley

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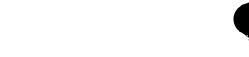
Enclosure 114543

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OUR ORDER NO. 40/4



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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/939,883

HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT

340 KINGSLAND STREET NUTLEY, NJ 07110 08/27/2001

Volker Breu

20725

CONFIRMATION NO. 4828

FORMALITIES LETTER

OC000000006817501

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

AM

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/939,883
		Filing Date	August 27, 2001
		First Named Inventor	Volker Breu et al.
		Group Art Unit	1614
		Examiner Name	
Total-Number-of-Pages-in This-Subm	ission <u>9</u> –	-Confirmation-Number	4828
ENCLOSURES (check all that apply)			
Fee Transmittal Form		nent Papers Application)	After Allowance Communication to Group
Fee Attached	Drawing)(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition and Acc	Routing Slip (PTO/SB/69) companying Petition	Proprietary Information
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter
Extension of Time Request	Power of Change Address	of Attorney, Revocation of Correspondence	Additional Enclosure(s) (please identify below):
Express Abandonment Request		al Disclaimer	Declaration
Information Disclosure Statement	! 🖵	ntity Statement	
Certified Copy of Priority		t for Refund	
Document(s)	Remarks]	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm F. Aaron Dubberley			
or Individual name			
Signature L. Waren Warberly			
Date October 10, 2001			
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231:			
Typed or printed name F. Aaron Dubberley			
Signature L. Garn Dubrules Date October 10, 2001			

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